



Financial Institutions Fraud Forum

The FIF Forum is a flagship program wholly owned & developed by ICFE-CG Pte Ltd

1 Dec 2009 | Marina Mandarin Hotel, Singapore

Empowering & Strengthening Financial Institutions with Effective Anti-Fraud Framework

Who Are The Distinguished Speakers?

- **Tommy Seah**, Chairperson Emeritus, **CSI World Headquarters**
- **Neil Webster**, Global Head of Wholesale Bank Internal Audit, **Standard Chartered Bank**
- **Grant Newsham**, Executive Director (Corporate Security), **Morgan Stanley Japan**
- **Victor Lorenzo**, Head of Corporate Security, **American Express Philippines**
- **Andrew Glover**, Managing Director, **International Compliance Association**
- **Jay Jhaveri**, Head (Asia), **World-Check**

Critical Issues to be addressed:

- The Need To Establish and Promote Accreditation As A Long Term Goal For Fraud Risk Management Professionals - A Case of Singapore
- Recent Developments and Emerging Trends in Internal Audit & Corporate Governance
- Exploring The Latest Strategies and Practical Solutions in Combating Financial Institutions Fraud
- Role of A Financial Crime Officer
- Customer Due Diligence in Financial Institutions

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Message from Forum's Chairperson

Dear Banking and Financial Professionals,

Every Once In a Long-Long While, an Event Comes Along and Changes All the Rules.

The world is no longer what it was before the financial crisis. All the Risk Management Techniques and Internal Controls do not appear to be relevant anymore. An appropriate expression to describe such a state of affairs would be a paradigm shift.

It is for this very reason that I am elated to invite you to the Financial Institutions Fraud (FIF) Forum in Singapore. This event is designed to empower you with the necessary strategies and measures so that you will be better equipped to overcome the challenges in the financial services sectors beyond 2009. It will change your professional life.

It is the first time that ICFE Consultancy Group is holding this exciting forum which will feature speakers who are leading practitioners in the fields of Compliance, Risk Management, Internal Audit, Legal and Finance in the region and key representatives of public and private organizations across the financial services industries will make up the guest list. What an unparalleled opportunity to gain critical insights and network!

If you are seriously interested in exploring the Fraud Risk paradigm shift within your organization, you should definitely take full advantage of this wonderful and unprecedented opportunity. Get all your questions answered during a full day of information-sharing, networking and empowerment and make the most of every discussions and connections you can make.

I look forward to seeing all of you in Singapore.

Sincerely,



Tommy Seah
Chairperson Emeritus, CSI World Headquarters
The FIF Forum 2009 Chairperson

Interested in other courses?
Download our EVENTS CALENDAR at
www.icfe-cg.com

Complete the registration form at the back of this brochure and return it to us by fax @ **(65) 6222 9865**

Who Should Attend:

- Chief Information Officers
- Chief Internal Auditors
- Chief Security Officers
- Chief Operating Officers
- Chief Financial Officers
- Heads of Internal Audit
- Corporate Audit
- Heads of Legal/Compliance
- Heads of Legal Department
- Heads of Regulatory Affairs
- Heads of Risk Management
- Heads of Credit Control
- Heads of Insurance Claims
- In-house Legal Counsels
- Accountants/Internal and/or External auditors

Objectives of the Forum:

- Highlight the critical role of Internal Audit, Compliance and Risk Management in the new paradigm shift into Fraud Risk Management (FRM);
- Exploring the latest strategies and practical solutions in combating financial institutions fraud;
- Implementing a formulated and effective pro-business and anti-fraud process;
- Determining whether the current approach in leveraging on technology and intelligence to reduce the risk in corporate fraud is the way to go;
- Meeting the relevant people and establish contacts on a networking platform for industry leaders and regulators to network, share valuable experiences.



ICFE Consultancy Group Pte Ltd

International Cybercrime & Forensics Examiners

INTEGRITY | ACCURACY | OBJECTIVITY

Tel : 6222 9860

Fax : 6222 9865

Email : enquiry@icfe-cg.com

Provisional Agenda

08.30 Registration and Morning Coffee

09.30 Welcome Speech

Stanley Chia, Managing Director
ICFE Consultancy Group Pte Ltd

09.45 Chairperson's Opening Address

Tommy Seah, Chairperson Emeritus
CSI World Headquarters

10.00 **Keynote Address**

**The Need To Establish and Promote Accreditation
As A Long Term Goal For Fraud Risk Management
Professionals - A Case of Singapore**

- Fraud Risk – Whose Responsibilities is it anyway?
- Why is there a need to determine Fraud Risk?
- How do you go about determining Fraud Risk?
- Where do you warehouse the Fraud Risk?
- What should be the benchmark for FRM (Fraud Risk Management) Professionals?

Tommy Seah, Chairperson Emeritus, **CSI World Headquarters**

10.45 Networking Tea Break Session

11.15 **Recent Developments and Emerging Trends in Internal Audit & Corporate Governance**

- Evolution of internal audit at Standard Chartered
- Challenges and issues faced during this development
- Banking industry response in the aftermath of the credit crisis and implications for internal audit and corporate governance

Neil Webster, Global Head of Wholesale Bank Internal Audit,
Standard Chartered Bank

12.00 **Exploring the Latest Strategies and Practical Solutions in Combating Financial Institutions Fraud**

- Symptomatic of all fraud
- Mortal Sins in Fraud Investigation
- Courteous attention in fighting fraud
- Proprietary attitude / Promoting a fraud averse environment

Victor Lorenzo, Head of Corporate Security, **American Express Philippines**

12.45 Networking Lunch

14.00 **Financial Institutions – Doomed to being helpless victims of external fraud?**

- 2008-2009, Tokyo: Top-tier Western banks lose US\$1.5 bn to organized crime fraud schemes
- The tell-tale signs of fraud
- What mistakes did the banks make?
- A systematic, effective approach to protecting your institution that applies in every region

Grant Newsham, Executive Director for Corporate Security,
Morgan Stanley, Japan

14.45 **Financial Crime Prevention Officer - Friend or Enemy**

- Managing the perception of the role
- Is it really possible to detect major fraud before it is exposed?
- Old scams - new approach
- The value of Suspicious Transaction Reports

Andrew Glover, Managing Director, **International Compliance Association**

15.30 Networking Tea Break Session

16.00 **Customer Due Diligence in Financial Institutions: Reinforcing Practices on Identity Verification and 'Know Your Customer'**

- Detecting fake, fraudulent and fictitious passports
- A practical demonstration
- Client identification in the realm of KYC

Jay Jhaveri, Head (Asia), **World-Check**

16.45 Presentation of Certificates for FRM Bootcamp

17.00 Chairperson's Closing Address

17.15 End of Forum

17.30 Networking Cocktail Session

19.00 End of Program

2 Tea Breaks and 1 Lunch Break will be provided



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How to register

Tel : (65) 6222 9860 **Fax** : (65) 6222 9865
Web : www.icfe-cg.com **Email** : registration@icfe-cg.com
Post To : **ICFE Consultancy Group Pte Ltd**
6 Eu Tong Sen Street, #05-13, The Central, Singapore 059817

Points of Contact for this Exciting Forum:

Speaking and Sponsorship Opportunities:

Contact Mr. Kelvin Chung at +65 6222 9861 or e-mail him at kelvin.chung@icfe-cg.com

Media and Marketing Opportunities:

Contact Ms Ariel Tan at +65 6222 9864 or e-mail her at ariel@icfe-cg.com

YES, I would like to register: The Financial Institutions Fraud (FIF) Forum

1 December 2009, Marina Mandarin Hotel, Singapore

Participant Details

Name: Dr / Mr / Mrs / Ms _____

Company Name: _____

Company Designation: _____

Company Address: _____

Participant's Email: _____

Tel No.: _____ Fax No.: _____

I have read and understood the booking terms & conditions.

Signature _____ Date _____

Contact Person

Name: _____

Company Designation: _____

Email: _____

Tel No.: _____

Fax No.: _____

Company Stamp: _____

*Participants to **Financial Institutions Fraud (FIF) Forum** may be eligible for Financial Sector Development Fund (FSDF) support on a case by case basis. Interested applicants should submit their applications to the FSDF secretariat directly. The Monetary Authority of Singapore (MAS) administers grants to financial sector organisations that sponsor eligible participants to training programmes that meet qualifying criteria. For enquiries, please contact the MAS at 6229-9396 or via email at fsdf@mas.gov.sg*

Preferred Mode of Payment

- By Cheque (Please cross cheque made payable to **ICFE Consultancy Group Pte Ltd** and mail your payment together with this registration form to the Company address stated in the "How to register" box above.
- Telegraphic Transfer (Singapore & Other Delegates)
 DBS Bank
 Account No.: 100-901448-2 (DBS Current Account)
 Account Name: ICFE Consultancy Group Pte Ltd
 Swift Code: DBSSSGSG

Course Fee	Amount
Super Early Bird (Register before 30 Sep 09)	SGD 780* (5% discount for 3 or more delegates)
Early Bird (Register before 31 Oct 09)	SGD 840* (5% discount for 3 or more delegates)
Regular	SGD 890* (10% discount for 3 or more delegates)

* Does not include accommodation

Call us at **+65 6222 9860** to find out more information!

Important Notice

Tel : (65) 6222 9860

Fax: (65) 6222 9865

Website: www.icfe-cg.com

IMPORTANT NOTICE: Payments are required with registration and must be received prior to the Coaching Session to guarantee your place. Walk-in delegates will only be admitted on the basis of space availability at the Coaching Session and with immediate full payment.

CANCELLATIONS & TRANSFERS: If you are unable to attend, a substitute delegate is welcome at no extra charge. Please provide the name and the title of the substitute delegate at least 2 days prior to the program. A refund less 10% administrative charge will be made for cancellation received in writing 10 working days before the program date. A complete set of program documentation and a 50% refund will be given for cancellation made 3 working days before the program date. Regrettably, no refund can be made for cancellation made on and/or after the program. A complete set of documentation will however be posted to you.

The organizer reserves the right to make any amendments and/or changes to the program, venue, speaker replacements and/or topics if warranted by circumstances beyond its control.

DISCLAIMER: Due to the interactive nature of the learning session, the sequence and contents of the program may vary according to the needs of the participants. The speaker reserves the right and the privilege to conduct the program in the manner which he thinks to be in the best interest of the majority of the participants. Please ensure that you understand this completely so as to prevent any form of unrealistic expectation and or disappointment in a public enrolment program that was not tailored to your specific need. Please inform the organizer in advance if you are not agreeable or do not completely understand the above term and we will cancel your enrolment accordingly